

Form PTO-1449
(Rev. 8-83)U.S. Department of Commerce
Patent and Trademark Office

Atty Docket 111325-2

Serial No. 09/649,841

INFORMATION DISCLOSURE STATEMENT

Applicants: Michael Charles RALEY

Filing Date: August 28, 2000

Group Art Unit: 2131 ^{2/32}

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
an	3,263,158	07/01/1966	Janis			
an	3,609,697	09/28/1971	Blevins et al.			
an	3,790,700	02/05/1974	Callais et al.			
an	3,798,605	03/19/1974	Feistel			
an	4,159,468	06/26/1979	Barnes et al.			
an	4,220,991	09/02/1980	Hamano et al.			
an	4,278,837	07/14/1981	Best			
an	4,323,921	04/06/1982	Guillou			
an	4,442,486	04/10/1984	Mayer			
an	4,529,870	07/16/1985	Chaum			
an	4,558,176	12/10/1985	Arnold et al.			
an	4,593,376	06/03/1986	Volk			
an	4,614,861	09/30/1986	Pavlov et al.			
an	4,644,493	02/17/1987	Chandra et al.			
an	4,658,093	04/14/1987	Hellman			
an	4,817,140	03/28/1989	Chandra et al.			
an	4,868,376	09/19/1989	Lessin et al.			
an	4,891,838	01/02/1990	Faber			
an	4,924,378	05/08/1990	Hershey et al.			
an	4,932,054	06/05/1990	Chou et al.			
an	4,937,863	06/26/1990	Robert et al.			
an	4,949,187	08/14/1990	Cohen			
an	4,953,209	08/28/1990	Ryder, Sr. et al.			
an	4,961,142	10/02/1990	Elliott et al.			
an	4,975,647	12/04/1990	Downer et al.			
an	4,999,806	03/12/1991	Chernow et al.			
an	5,010,571	04/23/1991	Katznelson			
an	5,014,234	05/07/1991	Edwards, Jr.			
an	5,023,907	06/11/1991	Johnson et al.			
an	5,047,928	09/10/1991	Wiedemer			
an	5,052,040	09/24/1991	Preston et al.			

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Technology Center 2100

Examiner *Abdulhakim Nobakar*Date Considered *08/03/04*

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

08/27/2002

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INFORMATION DISCLOSURE STATEMENT

Applicants: Michael Charles RALEY

Filing Date: August 28, 2000

Group Art Unit: 2152
2151

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
Q, J	5,058,164	10/15/1991	Elmer et al.			
Am	5,103,476	04/07/1992	Waite et al.			
PLN	5,113,519	05/12/1992	Johnson et al.			
an	5,136,643	08/04/1992	Fischer			
Am	5,138,712	08/11/1992	Corbin			
Am	5,146,499	09/08/1992	Geffroin			
Am	5,148,481	09/15/1992	Abraham et al.			
Am	5,159,182	10/27/1992	Eisele			
Am	5,183,404	02/02/1993	Aldous et al.			
Am	5,191,193	03/02/1993	Le Roux			
Am	5,204,897	04/20/1993	Wyman			
Am	5,222,134	06/22/1993	Waite et al.			
Am	5,235,642	08/10/1993	Wobber et al.			
Am	5,247,575	09/21/1993	Sprague et al.			
Am	5,255,106	10/19/1993	Castro			
Am	5,260,999	11/09/1993	Wyman			
Am	5,263,157	11/16/1993	Janis			
Am	5,263,158	11/16/1993	Janis			
Am	5,276,444	01/04/1994	McNair			
Am	5,276,735	01/04/1994	Boebert et al.			
Am	5,291,596	03/01/1994	Mita			
Am	5,311,591	05/10/1994	Fischer			
Am	5,319,705	06/07/1994	Halter et al.			
Am	5,337,357	08/09/1994	Chou et al.			
Am	5,339,091	08/16/1994	Yamazaki et al.			
Am	5,341,429	08/23/1994	Stringer et al.			
Am	5,347,579	09/13/1994	Blandford			
Am	5,381,526	01/10/1995	Ellson			
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Am	5,412,717	05/02/1995	Fischer			
Am	5,428,606	06/27/1995	Moskowitz			

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U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
AM	5,432,849	07/11/1995	Johnson et al.			
AM	5,438,508	08/01/1995	Wyman			
AM	5,444,779	08/22/1995	Daniele			
AM	5,453,601	09/26/1995	Rosen			
AM	5,455,953	10/03/1995	Russell			
AM	5,457,746	10/10/1995	Dolphin			
AM	5,473,687	12/05/1995	Lipscomb et al.			
AM	5,473,692	12/05/1995	Davis			
AM	5,499,298	03/12/1996	Narasimhalu et al.			
AM	5,504,814	04/02/1996	Miyahara			
AM	5,504,818	04/02/1996	Okano			
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AM	5,509,070	04/16/1996	Schull			
AM	5,530,235	06/25/1996	Stefik et al.			
AM	5,532,920	07/02/1996	Hartrick et al.			
AM	5,534,975	07/09/1996	Stefik et al.			
AM	5,539,735	07/23/1996	Moskowitz			
AM	5,563,946	10/08/1996	Cooper et al.			
AM	5,568,552	10/22/1996	Davis			
AM	5,621,797	04/15/1997	Rosen			
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AM	5,633,932	05/27/1997	Davis et al.			
AM	5,634,012	05/27/1997	Stefik et al.			
AM	5,638,443	06/10/1997	Stefik et al.			
AM	5,655,077	08/05/1997	Jones et al.			
AM	5,708,717	01/13/1998	Alasia			
AM	5,734,823	03/31/1998	Saigh et al.			
AM	5,734,891	03/31/1998	Saigh			
AM	5,745,569	04/28/1998	Moskowitz et al.			
AM	5,748,783	05/05/1998	Rhoads			
AM	5,761,686	06/02/1998	Bloomberg			

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Examiner *Abdulhakim Nabaker*Date Considered *08/03/04*

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INFORMATION DISCLOSURE STATEMENT

Applicants: Michael Charles RALEY

Filing Date: August 28, 2000

Group Art Unit: ²¹³²2151

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
AM	5,765,152	06/09/1998	Erickson			
AM	5,768,426	06/16/1998	Rhoads			
AM	5,825,892	10/20/1998	Braudaway et al.			
AM	6,047,067	04/04/2000	Rosen			
AM	6,115,471	09/05/2000	Oki et al.			
AM	6,233,684	05/15/2001	Stefik et al.			
AM	6,266,618	05/01/2001	Downs et al.			
AM	6,345,256	02/05/2002	Milsted et al.			

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Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes	No
	0 084 441	07/27/1983	EP			Full Eng	
	0 180 460	05/07/1986	EP			Full Eng	
	0 332 707	09/01/1989	EP			Full Eng	
	0 651 554	05/03/1995	EP			Full Eng	
	0 668 695	08/23/1995	EP			Full Eng	
	0 725 376	08/07/1996	EP			Full Eng	
	2 136 175	09/12/1984	GB			Full Eng	
	2 236 604	04/10/1991	GB			Full Eng	
	WO 01/63528	08/30/2001	PCT			Full Eng	
	WO 92/20022	11/12/1992	PCT			Full Eng	
	WO 93/01550	01/21/1993	PCT			Full Eng	
	WO 99/49615	09/30/1999	PCT			Full Eng	

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	Weber, R., "Digital Rights Management Technology" October 1995
	Flasche, U. et al., "Decentralized Processing of Documents", pp. 119-131, 1986, Comput. & Graphics, Vol. 10, No. 2
	Mori, R. et al., "Superdistribution: The Concept and the Architecture", pp. 1133-1146, 1990, The Transactions of

Examiner Abdulkarim Nobahar Date Considered 03/16/06

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These prior arts are not provided

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	Clark, P.C. et al., "Bits: A Smartcard protected Operating System", pp. 66-70 and 94, November 1994, Communications of the ACM, Vol. 37, No. 11
	Ross, P.E., "Data Guard", pp. 101, June 6, 1994, Forbes
	Saigh, W.K., "Knowledge is Sacred", 1992, Video Pocket/Page Reader Systems, Ltd.
	Kahn, R.E., "Deposit, Registration and Recordation in an Electronic Copyright Management System", pp. 1-19, August 1992, Corporation for National Research Initiatives, Virginia
	Hilts, P. et al., "Books While U Wait", pp. 48-50, January 3, 1994, Publishers Weekly
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	Robinson, E., "Redefining Mobile Computing", pp. 238-240, 247-248 and 252, July 1993, PC Computing
	Abadi, M. et al., "Authentication and Delegation with Smart-cards", 1990, Research Report DEC Systems Research Center
	Mark Stefik, "Letting Loose the Light: Igniting Commerce in Electronic Publication", pp. 219-253, 1996, Internet Dreams: Archetypes, Myths, and Metaphors, IDSN 0-262-19373-6
	Mark Stefik, "Letting Loose the Light: Igniting Commerce in Electronic Publication", pp. 219-253, 1996, Internet Dreams: Archetypes, Myths and Metaphors,

These prior arts are not provided

Examiner, *Abdulkarim Nobakar*Date Considered: *03/16/06*

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U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
an	4,827,508	05/02/1989	Shear			
an	4,977,594	12/11/1990	Shear			
an	5,050,213	09/17/1991	Shear			
an	5,410,598	04/25/1995	Shear			
an	5,892,900	04/06/1999	Ginter et al.			
an	5,910,987	06/08/1999	Ginter et al.			
an	5,915,019	06/22/1999	Ginter et al.			
an	5,917,912	06/29/1999	Ginter et al.			
an	5,920,861	07/06/1999	Hall et al.			
an	5,940,504	08/17/1999	Griswold			
an	5,943,422	08/24/1999	Van Wie et al.			
an	5,949,876	09/07/1999	Ginter et al.			
an	5,982,891	11/09/1999	Ginter et al.			
an	5,999,949	12/07/1999	Crandall			
an	6,112,181	08/29/2000	Shear et al.			
an	6,138,119	10/24/2000	Hall et al.			
an	6,157,721	12/05/2000	Shear et al.			
an	6,185,683	02/06/2001	Ginter et al.			
an	6,237,786	05/29/2001	Ginter et al.			
an	6,240,185	05/29/2001	Van Wie et al.			
an	6,253,193	06/26/2001	Ginter et al.			
an	6,292,569	09/18/2001	Shear et al.			
an	6,363,488	05/26/2002	Ginter et al.			
an	6,389,402	05/14/2002	Ginter et al.			

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Technology Center 2100

Examiner: Abdulhakim N. N. N. N.

Date Considered: 08/03/04

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08/09/2002

T.D. INFORMAL MEMO: DO NOT MAIL THIS MEMO TO APPLICANT

Date:	15-Mar-06	APPL. S. N:	11015684
To Examiner:	Nobahar, Abdulhakim	Art Unit	2132
From:	HALL, DALE PARALEGAL SPCECIALIST	Return This Memo To: Case Drop-Off Location	RND-1D75

SUBJECT: Decision on Terminal Disclaimer(T.D.) filed:

INSTRUCTIONS: I have reviewed the submitted T.D. with the results as set forth below. If you agree, please use the appropriate form paragraphs identified by this informal memo in your next Office action to notify applicant of the T.D. If you disagree or have any questions, please see me or the Special Program Examiner. THIS IS AN INFORMAL, INTERNAL MEMO ONLY. IT MUST NOT BE (1) MAILED TO APPLICANT OR (2) PLACED OF RECORD IN THE APPLICATION FILE. When your action is complete,

please initial, date and return this memo to me. THANK YOU.

- ☒ The T.D. is PROPER and has been recorded (see 14.23).
- ☐ The T.D. is NOT PROPER and has not been accepted for the reason(s) checked below (see 14.24):
- ☐ The TD fee of has not been submitted nor is there any authorization in the application file for the use of a deposit account
 - ☐ The T.D. does not satisfy Rule 321 in that the person who has signed the T.D. has not stated the extent of his/her interest (and/or the extent of the interest of the business entity represented by the signature) in the application/patent (see 14.26 & 14.26.01).
 - ☐ The T.D. lacks the enforceable only during common ownership clause - needed to overcome a non-statutory double patenting rejection, Rule 321(b) (see 14.27.01).
 - ☐ The T.D. is directed to a particular claim(s), which is not acceptable since "the disclaimer must be for a terminal portion of the term of the entire patent to be granted" (MPEP 1490) (see 14.26 & 14.26.02).
 - ☐ The person who signed the T.D.:
 - ☐ is not an attorney "of record" (see 14.29 and 14.29.01).
 - ☐ has failed to state his/her capacity to sign for the business entity (see 14.28).
 - ☐ is not recognized as an officer of the assignee (see 14.29 & possible 14.29.02).
 - ☐ No documentary evidence of a chain of title from the original inventor(s) to assignee has been submitted, nor is the reel and frame number specified as to where such evidence is recorded in the Office (see 37 CFR 3.73(b) and 1140 O.G. 72). NOTE: This documentary evidence or the specifying of the reel and frame number may be found in the T.D. or in a separate paper of record in the application (see 14.30).
 - ☐ The T.D. is not signed (see 14.26 & 14.26.03).
 - ☐ The serial number of the application (or the number of the patent) which forms the basis for the double patenting rejection is missing or incorrect (see 14.32).
 - ☐ The serial number of this application (or the number of the patent in reexam or reissue cases being disclaimed is missing or incorrect (see 14.26, 14.27.02 or 14.26.05).
 - ☐ The period disclaimed is incorrect or not specified (see 14.26, 14.27.02 or 14.26.03).
 - ☐ Other:
 - ☐ Suggestion to request refund (see 14.36). NOTE: If already authorized, credit refund to deposit account and do not check this item.

I have appropriately notified applicant(s) of the status of the Terminal Disclaimer filed in this case.

Ex.Initials: _____ Date: _____

Log Date: _____

Form PTO-1449
(Rev. 8-83)U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.: 111325-000002

Serial No.: unassigned

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant: Michael C. Raley

Filing Date: August 28, 2000

Group: 2/32

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
am	5,530,235	06/25/96	STEFIK et al.			
am	5,629,980	05/13/97	STEFIK et al.			
an	5,634,012	05/27/97	STEFIK et al.			
am	5,638,443	06/10/97	STEFIK et al.			
an	5,715,403	02/03/98	STEFIK			

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

am	VYOUFIRST Web site, "Promoting and Protecting Web Views, 08/18/00, 8 pages

Examiner:

Abdulkakim Nobaker

Date Considered:

08/03/04

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AM	4,796,220	01/03/1989	Wolfe			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
AM	0 715 241	06/05/1996	JP			Full Eng	
AM	04-369068	12/21/1992	JP			Eng Abst	
AM	05-268415	10/15/1993	JP			Eng Abst	
AM	06-175794	06/24/1994	JP			Eng Abst	
AM	06-215010	08/05/1994	JP			Eng Abst	
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AM	07-244639	09/19/1995	JP			Eng Abst	
AM	62-241061	10/21/1987	JP			Eng Abst	
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AM	WO 96/24092	08/08/1996	PCT			Full Eng	
AM	WO 97/48203	12/18/1997	PCT			Full Eng	
AM	WO 98/11690	03/19/1998	PCT			Full Eng	
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Examiner Initial	
AM	Henry H. Perritt, Jr., "Technological Strategies for Protecting Intellectual Property in the Networked Multimedia Environment", April 2-3, 1993, Knowbots, Permissions Headers & Contract Law

Examiner Abdulhakim Nobahar Date Considered 08/03/04

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09/24/2002

